

**Title** (mandatory by originator)

FAA is not adequately involved in the market entry process defined in BSCP65.

**Description of Change** (mandatory by originator)

BSCP65 "Registration of Parties and Exit Procedures" documents the processes for BSC Parties who accede / de-accede from the Balancing and Settlement Code (the Code).

There are a number of areas where interactions with the Funds Administration Agent (FAA) are not specified or are specified incorrectly:

- Section 1.2 incorrectly states that the FAA is only involved in the BSCP for the purposes of interface tests (section g). This is incorrect because the FAA are involved in the accession / de-accession processes and are not involved in the interface testing as specified.
- The results of receiving the 'FAA Registration Form', as completed by the Party Applicant in step 3.1.4, are not fed into process 3.1 "Party Registration". In addition, this step refers to the 'FAA Registration Form'. This does not exist in BSCP301, rather the 'Funds Accession Form' should be provided and be referred to.
- Step 3.1.14 of process 3.1 involves the BSCCo sending a request to the Party Applicant to finalise the provisions for Credit Cover and the banking details. However there is no input from the FAA that the Party Applicant has provided the banking details, which is essential to ensure that the Party Applicant can accede to the Code. (Note: It is not necessary that the Credit Cover is provided because non-provision of this will be notified via the ECVAA later on in the process).
- Step 3.1.14 in general terms also applies to other BSC Agents to whom the Party Applicant should have completed pre-requisite requirements.
- Step 3.1.15 requires the CRA to notify the BSC Agents of the relevant registration details, which will include the identifier of the new Party. However there is no dataflow defined for this step, in fact there are no dataflows identified for any of the interfaces documented in this BSCP.

There is currently an operational activity whereby the FAA is notified by ELEXON that a party has acceded and this change proposal proposes to implement this activity formally within BSCP65.

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<p><b>Proposed Solution(s)</b> <i>(mandatory by originator)</i></p> <p>Modify BSCP65 so that it fully incorporates the interfaces with FAA and other BSC Agents. This will require changes to the following:</p> <ol style="list-style-type: none"> <li>1. Section 1.2: Clarify the role of the FAA in relation to BSCP65 and enhance process 3.1 so that it is clear who the parties are which participate in the interface tests.</li> <li>2. Add new steps to process 3.1, after existing step 3.1.4, so that the FAA notifies the BSCCo that the correct banking details have been provided.</li> <li>3. Add new steps to process 3.1, after existing steps 3.1.14, so that:             <ol style="list-style-type: none"> <li>a) the BSCCo checks all the information it has received to ensure that the Party Applicant can accede to the Code;</li> <li>b) the BSCCo notifies the CRA that the Party has acceded to the Code.</li> </ol> </li> <li>4. Replace all references to 'FAA Registration Form' with 'Funds Accession Form'.</li> <li>5. Add dataflow references to Section 3 for all the interface steps eg. CRA-I013, CRA-I014. All dataflows should be identified unless a form is used which cross-refers to an appendix in BSCP65.</li> </ol> <p>There may be an impact on the:</p> <ul style="list-style-type: none"> <li>• BSC Agent local working instructions.</li> <li>• BSC Agent design documentation eg. URS, Interface Definition and Design.</li> </ul>	
<p><b>Justification for Change</b> <i>(mandatory by originator)</i></p> <p>As it stands there is a risk that a Party Applicant will become registered prior to it completing all its pre-requisite requirements.</p>	
<p><b>Other Configurable Items Potentially Affected by Proposed Solution(s)</b> <i>(optional by BSCCo)</i></p>	

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<p><b>Impact on Core Industry Documents</b> <i>(optional by originator)</i></p>	
<p><b>Related Changes and/or Projects</b> <i>(mandatory by BSCCo)</i></p>	
<p><b>Originator's Details:</b></p> <p><b>BCA Name:</b></p> <p><b>Organisation:</b>                   ELEXON</p> <p><b>Email Address:</b></p> <p><b>Date:</b></p> <p><b>[BSC Panel Representative]:</b></p> <p><b>Organisation:</b></p>	
<p><b>Attachments:</b>                   No</p>	