ELEXON

Meeting name   BSC Panel

Date of meeting   16 January 2003

Paper Title   RECOVERY OF FILE RE-SEND COSTS FROM BSC PARTIES

Purpose of Paper For Decision

Synopsis   The Supplier Volume Allocation Agent (SVAA) and NETA Central Services can pass charges onto ELEXON for the cost of recovering and re-sending output files to BSC Parties who request them. ELEXON are seeking to formalise a procedure that is current operational practice and that is being used to recover these costs from the BSC Parties who have requested the files. Subject to the approval of the Panel, ELEXON intend to progress this matter with the ISG and SVG in order to formally capture the process in the relevant Code Subsidiary Documents.

1.   INTRODUCTION

1.1   Certain BSC Parties can request re-sends of output files from the SVAA or from the NETA Central Services, for various file types and for any Settlement Date required. As an example, details of which output files can be requested from the SVAA and by whom are shown in Annex 1. File re-send requests from BSC Parties occur on an ad-hoc basis and are only required if the files that they originally / initially received have been lost or have become unusable for some reason. The file re-sends process only applies to files requested by those BSC Parties who were the original recipients.

2.   FILE RE-SENDS

2.1   Until recently ELEXON had absorbed the costs passed on by the SVAA and NETA Central Services for file re-sends, on behalf of the Parties requesting the file re-sends. However a decision was taken by ELEXON to try to recover these costs in order to manage the ELEXON budgets more effectively. ELEXON have begun recovering costs from SVA Parties, but have yet to extend this practice to CVA file re-sends. In the interests of consistency, ELEXON would like to apply the same process for both CVA and SVA file re-sends.

2.2   The relevant section of the BSC that allows ELEXON to recover these costs is paragraph 6.1 of Annex D-3. The paragraph states:

'Where BSCCo provides or procures any relevant service to or for a relevant person, subject to paragraph 6.3, BSCCo may, and if the Panel so requires shall, make a charge to the relevant person of an amount sufficient to recover the relevant costs.'.

2.3   Currently ELEXON is not required to send file re-sends by the Code or any Code Subsidiary Document. However, ELEXON believes that it would be desirable to document the process for providing file re-sends in a BSC procedure. Formalising the process in a Code Subsidiary Document would bring this service within the scope of paragraph 6.3. Paragraph 6.3 of Annex D-3 states:

'Where BSCCo is required by any provision of the Code or a Code subsidiary document to provide (at the request of a Party) the relevant service, BSCCo may only make such a charge with the prior approval of the Panel.'

2.4   ELEXON believe that formalising the processes will ensure that all Parties are aware of their obligations, and at the same time will introduce a clearly defined audit trail for all such requests. The process should also benefit those BSC Parties who operate good business practices and encourage better business practices amongst those who do not.

2.5   ELEXON does not currently intend to apply the re-charging process to Agents as they are not BSC Signatories and as such have no formal contract with ELEXON. ELEXON is currently considering a workable solution to apply to Agents.

3.   MATERIALITY

3.1   In order to give some indication of the materiality involved ELEXON have included some statistics from the last years worth of file re-send requests (See Table 1).

3.2   Table 1 – SVA File Re-sends 01/01/2002 – 31/12/2002

Total number of SVA file re-send requests	96
Total number of requests resulting in re-sends*	61
Total number of files re-sent	210

   *35 file re-send requests were made where circumstances were such that no re-send was possible or required. The most common reasons being:

a)   The file requested has not yet been created.

b)   The file being requested was delivered successfully but could not be located by the recipient The file was subsequently located and the request cancelled.

3.3   The majority of file re-send requests are small and therefore have not resulted in charges to ELEXON from the SVAA, however requests involving large amounts of files do incur significant costs (See Table 2).

3.4 Table 2 – SVA File Re-send Costs Charged back to ELEXON, and subsequently to BSC Parties

Request for File Re-send	Total Cost Charged to Party
Party A	£738
Party B	£718
Party C	£596
Total	£2,052

3.5 No figures have been included for CVA file re-send costs as no BSC Parties have had their costs passed on by ELEXON as yet, however evidence suggests that CVA file re-send costs could potentially become significant.

4. FORMALISATION OF THE PROCESS

4.1 ELEXON are of the opinion that it would be inefficient to seek Panel approval on a case by case basis. ELEXON believe that overall agreement from the Panel on the general principle of allowing ELEXON to recover file re-send costs from Parties would allow ELEXON the appropriate level of authority required to update the relevant Code Subsidiary Documents with the formalised process.

4.2 A Change Proposal (CP 887) was raised in order to progress this matter further, however CP 887 relates to SVA files only. As yet no CP has been raised to cater for CVA file re-send requests.

4.3 ELEXON propose to introduce the same operational procedure as that detailed in CP 887 for SVA file re-sends, for the cost recovery of CVA file re-sends. CP 887 provides details of the process that ELEXON and the SVA Agent wish to implement, including details of how ELEXON propose to recover costs from Parties. A copy of CP 887 has been attached as Annex 2.

4.4 CP 887 has been on hold since being raised, subject to the outcome of the Panel decision. The CP has not been progressed beyond the internal review stage and therefore as yet no formal comments from external Parties have been requested or received by ELEXON.

4.5 ELEXON suggest that CP 887 be progressed with the SVG through the normal Change Management Process and that, should the Panel agree to the recommendations of this paper, ELEXON raise an additional CP. The additional CP, which will outline the same file re-send cost recovery process as CP 887, will be progressed with the ISG through the normal Change Management Process.

5. RECOMMENDATIONS

5.1 **The Panel is invited to:**

- **NOTE that the current ELEXON practice (in accordance with paragraph 6.1 of Annex D-3) is to recover from a market participant requesting output file re-sends any charge made by the Supplier Volume Allocation Agent; and that**

ELEXON believes it would be appropriate to extend this to charges made by NETA Central Services as well;

- NOTE that, if the process for charging for file re-sends was to be formalised, ELEXON would require the approval of the Panel (in accordance with paragraph 6.3 of Annex D-3) to continue recovering costs in this way;

- NOTE that ELEXON proposes to progress the formalisation of this process in conjunction with the ISG and SVG through the normal Change Management process.

- AGREE (in accordance with paragraph 6.3 of Annex D-3) that, should the ISG and SVG adopt the Change Proposals, ELEXON should continue to recover from BSC Parties requesting output file re-sends any charges made by the relevant BSC Agent.

Andrew Hudson
ELEXON Service Delivery

List of enclosures

Annex 1 – List of output files that can be requested as part of the file re-send process
Annex 2 – CP 887

Annex 1 – List of output files that can be requested as part of the file re-send process

BSC Party Type	
Supplier	P0043 – Default Data Teleswitch Report D0018 – Daily Profile Production Report D0028 – Standing Profile Data Report D0029 – Standard Settlement Configuration Report D0030 – Non Half Hourly DUoS Report D0043 – Supplier Deemed Take Report D0079 – Supplier Purchase Report D0081 – Supplier Half Hourly Demand Report D0082 – Supplier Purchase Matrix Report D0266 – Supplier Settlement Header Report D0276 – GSP Group Consumption Totals Report D0279 – Teleswitch Contact Interval Data Report File D0281 – Recalculated GSP Group Corrections Factors Report D0296 – Supplier BM Unit Report
NHHDCs	D0028 – Standing Profile Data Report D0029 – Standard Settlement Configuration Report D0039 – Default Profile Coefficient File
Panel	P0043 – Default Data Teleswitch Report
NGC	D0083 – TuoS Report D0281 – Recalculated GSP Group Correction Factors Report
PDSO	D0030 – Non Half Hourly DUoS Report

Change Proposal – F40/01 (Page 1 of 2)	CP No: 887 *(mandatory by BSCCo)*

Title *(mandatory by originator)* **Update to BSCP 508 – File Re-send Requests**

Description of Change *(mandatory by originator)*

There are no processes defined to describe how a Participant should request file re-sends from the SVA Agent for either Daily Profile Production Files or Volume Allocation Files. File re-send requests are currently handled on an ad-hoc basis through the PMS and EARS systems at ELEXON and Cap Gemini respectively. It is proposed that the current procedure be formally documented in BSCP 508 to handle the processing of file re-send requests. The proposal deals with both Daily Profile Production File requests and Volume Allocation File requests in the same way.

Proposed Solution(s) *(mandatory by originator)*

All calls requesting file re-sends should be sent initially to the ELEXON help desk. The call should then be referred to the SVA Operations team. The SVA Operations team will contact the Supplier and inform them that ELEXON will endeavour to recover from the Supplier any costs incurred as a result of the re-send request. At this point ELEXON will also add the following information to the call if it has not been provided already:

- Caller Forename and Surname
- Customer Site
- Telephone Number
- E-mail Address

Once these details have been recorded, ELEXON will refer the call to the SVA Agent help-desk and raise a CMIR to request a quote from the SVA Agent for the provision of the data. The SVA Agent help-desk will distribute a template to the originator which will request the following information:

- MPID for Requested Files
- File Type Requested (Daily Profile Production or Volume Allocation Run)
- File Name (e.g. D0296)
- Run Type (e.g. Initial Settlement (SF) First Reconciliation (RF) etc…)
- Settlement Date
- Supplier (Y / N)
- Distributor (Y / N)

Date written: 10 January 2002   Document Status: **FINAL**

Once completed, the Party should return the template to the SVA Agent help-desk who will then have a clear and auditable record of the exact data requested. Knowing the exact nature of the data requested the SVA Agent will provide a quotation to ELEXON for the provision of the data. ELEXON will request written confirmation from the Supplier that they are happy to meet any cost(s) incurred as a result of the file re-send request.

The SVA Agent will collate the requested data and arrange for it to be distributed to the requesting Party.

ELEXON will invoice the Supplier to recover the cost(s) of the file re-sends.

Justification for Change *(mandatory by originator)*

There will be a defined and documented process that is both clear and auditable.

Change Proposal – F40/01 (Page 3 of 3)	MP No: *(mandatory by BSCCo)*

Other Configurable Items Potentially Affected by Proposed Solution(s) *(optional by BSCCo)*

Impact on Core Industry Documents *(optional by originator)*

Related Changes and/or Projects *(mandatory by BSCCo)*

Originator's Details:

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Date……04/11/2002………………………………………………

Organisation…………………………………………………………………………………..

Attachments: Y   (If Yes, No. of Pages attached:……1….)
(delete as appropriate)